

Meeting with the Clearing House Association, Barclays, BB&T, Capital One, Fifth Third, HSBC, JP Morgan Chase, State Street, Sullivan & Cromwell LLP, and UBS

On November 16, 2016, staff from the Federal Housing Finance Agency (FHFA), the Office of the Comptroller of the Currency (OCC), the Board of Governors of the Federal Reserve System (FRB), the Federal Deposit Insurance Corporation (FDIC), and the Securities and Exchange Commission (SEC) met with representatives from The Clearing House Association (TCH), Barclays, BB&T, Capital One, Fifth Third, HSBC, JP Morgan Chase, State Street, Sullivan & Cromwell LLP, and UBS. The representatives shared their views on the proposed Incentive-Based Compensation Arrangements rule. Specifically, they discussed the scope of individuals covered by the proposed rule, the definitions of significant risk-taker and senior executive officer, consolidation, and clawback under the proposed rule.

Participants

FHFA	Carol Connelly
	Mary Pat Fox
	Richard Oettinger
	Lindsay Simmons

OCC	Alison MacDonald
	Debra Peery
	Marta Stewart-Bates
	Patrick Tierney

FRB	Flora Ahn
	Steve Bowne
	Gillian Burgess
	Joe Maldonado
	Alyssa O'Connor
	Michael Solomon

FDIC

Rosalind Bennett
George Parkerson
Nefretete Smith
Catherine Topping

SEC

David Bloom
Natasha Greiner
Jennifer Juergens
Sirimal Mukergee
Alpa Patel
Emily Rowland
Kevin Schopp

TCH

Angelena Bradfield
Paige Pidano

Barclays

Corinne Heyes
Patrick Mullin

BB&T

Dianna Pietrzak
Robert Johnson

Capital One

Cleo Belmonte
Stephanie Palermo

Fifth Third

Christopher Minnich

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William Corrigan

Thomas Sasso

JP Morgan Chase

Gina Palmisiano

State Street

Anne Bourdine

Keri Groh

Sullivan & Cromwell LLP

Marc Trevino

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