

## Meeting with Financial Services Roundtable

On October 28, 2016, staff from the Federal Housing Finance Agency (FHFA), the Office of the Comptroller of the Currency (OCC), the Board of Governors of the Federal Reserve System (FRB), the Federal Deposit Insurance Corporation (FDIC), the National Credit Union Administration (NCUA), and the Securities and Exchange Commission (SEC) met with representatives from the Financial Services Roundtable (FSR). The FSR representatives shared their views on the proposed Incentive-Based Compensation Arrangements rule. Specifically, they discussed the scope of the proposed rule, definition of “significant risk-taker,” deferral requirements, forfeiture, and clawback under the proposed rule.

### Participants

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FHFA

Carol Connelly  
Richard Oettinger

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OCC

Alison MacDonald  
Marta Stewart-Bates  
Patrick Tierney

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FRB

Gillian Burgess  
Meg Donovan  
Alyssa O’Connor  
Teresa Scott

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FDIC

George Parkerson  
Nefretete Smith  
Catherine Topping

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NCUA

Vickie Apperson  
Elizabeth Wirick

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SEC	Sirimal Mukerjee Alpa Patel Emily Rowland Kristy Von Ohlen
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FSR	Robert Hatch Felicia Smith
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Winston & Strawn	Christine Edwards Mike Melbinger
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U.S. Bank	Jennie Carlson Justin Windschitl
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Discover	Rob Puryear
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JP Morgan Chase	Gina Palmisiano
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Fulton Financial	Jeff Hooton
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RBC	Liz Lieberman David Schwartz
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State Farm	Wayne Cimonis
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